

# Privacy Choices Notice

If you would like to limit the personal information that your financial advisor discloses or takes with him or her to another brokerage or investment advisory firm upon the termination of his or her relationship with LPL Financial, please complete and mail the following form to:

Privacy Management  
c/o Enterprise Risk Management Department  
LPL Financial  
9785 Towne Centre Drive  
San Diego, CA 92121-1968

- Limit the personal information about me that my financial advisor discloses or takes with him or her to another brokerage or investment advisory firm upon terminating his or her relationship with LPL Financial. I understand that you may disclose my name, address, telephone number, email, and a general description of my accounts to such financial institution.

If you want to follow your advisor or your bank, credit union or other financial institution to a New Financial Institution when your financial advisor or your bank, credit union or other financial institution terminates its relationship with us, please do not send in this Privacy Choices Notice form.

In order for your opt-out election to be effective, you must complete the following information including the signature and date lines.

## Customer 1:

Name \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State/Zip \_\_\_\_\_

Account Number or SSN \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

## Customer 2:

Name \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State/Zip \_\_\_\_\_

Account Number or SSN \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_